



MORE LIGHT

Slavery and Human Trafficking Statement for the Jenoptik Group

Jenoptik is committed to international standards of human rights and does not tolerate any form of slavery, servitude, forced or compulsory labor, human trafficking or exploitation in its own business operations or those of its supply chain.

This statement of principle is made in accordance with section 54 of the UK Modern Slavery Act 2015 for the 2021 fiscal year, setting out the steps taken by Jenoptik to combat slavery, servitude, forced or compulsory labor, human trafficking and other forms of exploitation in its own business operations and supply chain.

This statement is published for JENOPTIK AG and all companies in which it has a direct or indirect majority interest.

The Group and its Supply Chain

An international technology group, Jenoptik operates in four divisions: Light & Optics, Light & Production, Light & Safety and VINCORION. The company's headquarters is located in Jena, Germany. Jenoptik employs around 4,900 people around the world.

Its customers primarily include companies in the semiconductor equipment industry, the automotive and automotive supplier industries, the medical technology, security and defense industries and the aviation industry.

In addition to several large sites in Germany, Jenoptik has further production and assembly locations in China, France, Great Britain, Canada, Korea, Spain and Switzerland, among others..

With its international supplier relationships for a wide range of materials and services, Jenoptik has extensive and multi-level supply chains. The majority of suppliers are based in Western Europe and the USA and generally have a high level of added value.

Policies

The "Code of Conduct for Jenoptik Employees" sets out principles of conduct to ensure that all Jenoptik employees around the world maintain a high level of integrity and adhere to ethical and legal standards. The Code prohibits any form of exploitation and human rights abuses, in particular forced and child labor, and enshrines guarantees of fair working conditions and statutory minimum wages. Jenoptik has a zero tolerance policy toward unequal treatment and discrimination against individuals based on race, ethnic origin, skin color, gender, religion or belief, disability, age, marital status and sexual identity.

Like all of Jenoptik's group policies, the Code of Conduct is binding for all employees. Managers assume particular responsibility in this regard. Group policies are created and reviewed on the basis of a standardized interdepartmental process. All group policies are approved by the Executive Board.

In addition, respect for and adherence to human rights standards within the Jenoptik Group and its supply chain are regulated by internal organizational provisions. These provisions establish principles relating to general working conditions and remuneration within Jenoptik, and for consideration of human rights standards in supplier management.

Jenoptik employees can report violations of statutory and internal provisions to the Compliance & Risk Management department. Violations are terminated, sanctioned and reviewed by means of a standardized process. During regular audits carried out by the Internal Audit department, operational business units and administrative departments in the Group are reviewed for compliance with law and internal provisions on a random basis. Any identified violations are documented and reported; action to end them is initiated.

Supplier Due Diligence

Suppliers of Jenoptik are systematically recorded and, depending on their commercial relevance, subjected to supplier evaluations. Beyond this, selected suppliers are reviewed with regard to their corporate social responsibility during supplier assessments.

In addition, an extended business partner screening is carried out by an independent third party company on the basis of defined criteria, and separate approval to employ them is obtained within the company.

Code of Conduct for Suppliers

Jenoptik expects its suppliers to respect and adhere to international human rights standards.

Suppliers of Jenoptik are contractually bound to adhere to the standards of the "Code of Conduct for Jenoptik Suppliers" by default and similarly oblige their own suppliers to adhere to them. In addition to general compliance with applicable legal provisions, this in particular

includes the adherence to and assurance of human rights by prohibiting forced and child labor as well as discrimination and unequal treatment, ensuring fair working conditions, working hours and appropriate remuneration and also respecting employees' freedom of association.

In the event of violations of the principles of the Code of Conduct by a supplier, Jenoptik reserves the right to take legal actions, for example by terminating the business relationship.

Training

All Jenoptik employees around the world are obliged to participate in a web-based basic training on legal and ethical compliance standards.

For Jenoptik, correct and responsible ethical and legal conduct is an important part of its global business operations.

Particular emphasis is placed on respect for international human rights standards and the establishment of measures to ensure compliance in the context of business operations and the supply chain.

This statement of principle was approved by the Executive Board of JENOPTIK AG for JENOPTIK AG and all companies in which it has a direct or indirect majority interest.

JENOPTIK AG
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The contents of this publication address all genders equally.